

**Definition of the CRMarchaeo**

An Extension of CIDOC CRM to support the archaeological excavation process

*Proposal for approval by CIDOC CRM - SIG*

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# 1.1 Introduction

## 1.1.1 Scope

This document presents *CRMarchaeo*, an extension of CIDOC CRM created to support the archaeological excavation process and all the various entities and activities related to it. The model has been created starting from standards and models already in use by national and international cultural heritage institutions, and has evolved through deep analysis of existing metadata from real archaeological documentation. It has been enriched by continuous collaboration with various communities of archaeologists from different countries and schools. Furthermore, it takes advantage of the concepts provided by *CRMsci*, from which it inherits most of the geological and stratigraphic principles that govern archaeological stratigraphy, extending these principles.

*CRMarchaeo* is intended to provide all necessary tools to manage and integrate existing documentation in order to formalise knowledge extracted from observations made by archaeologists, recorded in various ways and adopting different standards. In this sense, its purpose is to facilitate the semantic encoding, exchange, interoperability and access of existing archaeological documentation.

*CRMarchaeo* takes inspiration from the basic idea on which archaeology is based according to Harris [Harris 1989], that the features of an archaeological site are to be found in the stratified context, which is investigated by an archaeological excavation. It takes into consideration the physical arrangement of archaeological stratification and the events that led to the formation of a particular stratigraphic situation. The model comprises entities and properties for describing stratigraphic genesis and modifications and the natural phenomena or human intervention that led to their creation, the nature and shape of existing stratifications and surfaces, and the analysis of the human remains or artefacts found within the strata. This will enable archaeologists to determine the relative chronological order in which stratification was formed. The interpretation of the chronological sequences, also based on the space-time analysis of a specific site, provides all the elements needed for the reconstruction of the identity, life, beliefs, behaviour and activities of a given group of people in the past in that specific place.

Furthermore, the model documents, in a transparent way, the various aspects of archaeological excavation process, including the technical details concerning different methods of excavation, the reasons for their application and the observations made by archaeologists during their activities in the field. This approach allows the creation of an objective documentation that can guarantee the scientific validity of the results, making them revisable following further investigations and reusable in different research contexts, in order to answer further (and potentially different) research questions.

One of the most important goals of the model is to overcome the differences resulting from the application of different excavation techniques and procedures, e.g. from different traditions and schools of archaeology, revealing the common ways of thinking that characterise the stratigraphic excavation. This will serve to provide a unified view that can express the common concepts without imposing any specific recording or investigation technique, on stratigraphic activity, and will also provide a sound basis for the integration of various methods.

From a technical point of view, the model provides conceptual descriptions of classes and properties in an encoding-agnostic formalism, inherited from CIDOC CRM, allowing implementation of its concepts and relationships by the use of various languages and formal encodings (such as RDF and OWL), thereby providing maximum flexibility for operations of mapping and conversion and giving IT experts the freedom to implement it in the way they prefer.

## 1.1.2 Status

*CRMarchaeo* is the result of collaboration between many cultural heritage institutions and the unifying efforts of many European projects, including ARIADNE [ARIADNE 2013]. The first need that the model attempts to meet is to create a common ground for the integration of archaeological records on every level, from raw excavation data to official documentation produced according to national and institutional standards. This document describes a community model, which has been approved by CRM SIG to be formally and methodologically compatible with CIDOC CRM. However, in a broader sense, it is always open to any possible integration and addition that may become necessary as a result of its practical use on real archaeological problems on a large scale. The model is intended to be maintained and promoted as an international standard.

### 1.1.3 Naming Convention

All the classes declared were given both a name and an identifier constructed according to the conventions used in the CIDOC CRM model. For classes that identifier consists of the letter A followed by a number. Resulting properties were also given a name and an identifier, constructed according to the same conventions. That identifier consists of the letters AP followed by a number, which in turn is followed by the letter “i” every time the property is mentioned “backwards”, i.e., from target to domain (inverse link). “A” and “AP” do not have any other meaning. They correspond respectively to letters “E” and “P” in the CIDOC CRM naming conventions, where “E” originally meant “entity” (although the CIDOC CRM “entities” are now consistently called “classes”), and “P” means “property”. Whenever CIDOC CRM classes are used in our model, they are named by the name they have in the original CIDOC CRM. CRMsci classes and properties are referred with their respective names, classes denoted by S and properties by O.

Letters in red colour in CRM Classes and properties are additions/extensions coming by the scientific observation model.

## 1.2 Class and Property hierarchies

The CIDOC CRM model declares no “attributes” at all (except implicitly in its “scope notes” for classes), but regards any information element as a “property” (or “relationship”) between two classes. The semantics are therefore rendered as properties, according to the same principles as the CIDOC CRM model.

Although they do not provide comprehensive definitions, compact mono hierarchical presentations of the class and property IsA hierarchies have been found to significantly aid in the comprehension and navigation of the model, and are therefore provided below.

The class hierarchy presented below has the following format:

- Each line begins with a unique class identifier, consisting of a number preceded by the appropriate letter “E”, “A”, “S”
- A series of hyphens (“-”) follows the unique class identifier, indicating the hierarchical position of the class in the IsA hierarchy.
- The English name of the class appears to the right of the hyphens.
- The index is ordered by hierarchical level, in a “depth first” manner, from the smaller to the larger sub hierarchies.
- Classes that appear in more than one position in the class hierarchy as a result of multiple inheritance are shown in an italic typeface.

## 1.2.1 Excavation model class hierarchy, aligned with portions from the CRMsci and the CIDOC CRM class hierarchies

This class hierarchy lists:

- all classes declared in Excavation Model
- all classes declared in CRMsci and CIDOC CRM that are declared as superclasses of classes declared in the Excavation Model,
- all classes declared in CRMsci or CIDOC CRM that are either domain or range for a property declared in the Excavation Model,
- all classes declared in CRMsci and CIDOC CRM that are either domain or range for a property declared in Excavation Model or CIDOC CRM that is declared as superproperty of a property declared in the Excavation Model,
- all classes declared in CRMsci and CIDOC CRM that are either domain or range for a property that is part of a complete path of which a property declared in Excavation Model is declared to be a shortcut.

<a href="#">E1</a>	CRM Entity
<a href="#">S15</a>	- Observable Entity
<a href="#">E2</a>	- - Temporal Entity
<a href="#">S16</a>	- - - State
<a href="#">A7</a>	- - - - Embedding
<a href="#">E5</a>	- - - Event
<a href="#">E7</a>	- - - - Activity
<a href="#">E13</a>	- - - - - Attribute Assignment
<a href="#">A6</a>	- - - - - Group Declaration Event
<a href="#">S4</a>	- - - - - Observation
<a href="#">A1</a>	- - - - - Excavation Process Unit
<a href="#">S19</a>	- - - - - Encounter Event
<a href="#">S18</a>	- - - - Alteration
<a href="#">S17</a>	- - - - Physical Genesis
<a href="#">A5</a>	- - - - Stratigraphic Modification
<a href="#">A4</a>	- - - - - Stratigraphic Genesis
<a href="#">E63</a>	- - - - Beginning Of Existence
<a href="#">A5</a>	- - - - - <i>Stratigraphic Modification</i>
<a href="#">S17</a>	- - - - - <i>Physical Genesis</i>
<a href="#">E77</a>	- - Persistent Item
<a href="#">E70</a>	- - - Thing
<a href="#">S10</a>	- - - - Material Substantial
<a href="#">S11</a>	- - - - - Amount of Matter
<a href="#">E18</a>	- - - - - Physical Thing
<a href="#">S20</a>	- - - - - Physical Feature
<a href="#">E26</a>	- - - - - Physical Feature
<a href="#">A8</a>	- - - - - Stratigraphic Unit
<a href="#">A2</a>	- - - - - Stratigraphic Volume Unit
<a href="#">A3</a>	- - - - - Stratigraphic Interface
<a href="#">S22</a>	- - - - - Segment of Matter
<a href="#">E53</a>	- Place
<a href="#">S20</a>	- - <i>Physical Feature</i>
<a href="#">A8</a>	- - - <i>Stratigraphic Unit</i>
<a href="#">A2</a>	- - - - <i>Stratigraphic Volume Unit</i>
<a href="#">A3</a>	- - - - - <i>Stratigraphic Interface</i>

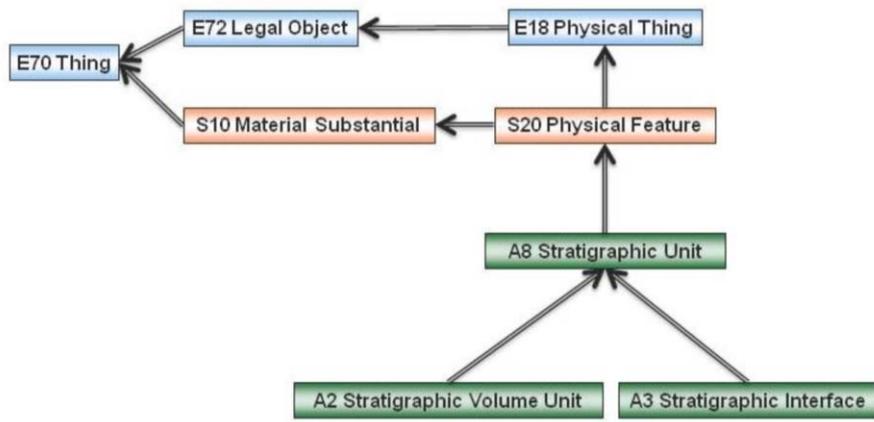
## 1.2.2 Excavation Model property hierarchy, aligned with portions from the CRMsci and the CIDOC CRM property hierarchies

This property hierarchy lists:

- all properties declared in Excavation Model,
- all properties declared in CRMsci and CIDOC CRM that are declared as superproperties of properties declared in Excavation Model,
- all properties declared in CRMsci and CIDOC CRM that are part of a complete path of which a property declared in Excavation Model, is declared to be a shortcut.

Property id	Property Name	Entity – Domain	Entity-Range
<a href="#">AP1</a>	produced (was produced by)	<a href="#">A1</a> Excavation Process Unit	<a href="#">S11</a> Amount of Matter
<a href="#">AP2</a>	discarded into (was discarded by)	<a href="#">A1</a> Excavation Process Unit	<a href="#">S11</a> Amount of Matter
<a href="#">AP3</a>	excavated (was excavated by)	<a href="#">A1</a> Excavation Process Unit	<a href="#">E53</a> Place
<a href="#">AP4</a>	produced surface (was surface produced by)	<a href="#">A1</a> Excavation Process Unit	<a href="#">S20</a> Physical Feature
<a href="#">AP5</a>	removed part or all of (was partially or totally removed by)	<a href="#">A1</a> Excavation Process Unit	<a href="#">A8</a> Stratigraphic Unit
<a href="#">AP6</a>	intended to approximate (was approximate)	<a href="#">A1</a> Excavation Process Unit	<a href="#">A3</a> Stratigraphic Interface
<a href="#">AP7</a>	produced (was produced by)	<a href="#">A4</a> Stratigraphic Genesis	<a href="#">A8</a> Stratigraphic Unit
<a href="#">AP8</a>	disturbed (was disturbed by)	<a href="#">A5</a> Stratigraphic Modification	<a href="#">A8</a> Stratigraphic Unit
<a href="#">AP9</a>	took matter from (provided matter to)	<a href="#">A4</a> Stratigraphic Genesis	<a href="#">S10</a> Material Substantial
<a href="#">AP10</a>	destroyed (was destroyed by)	<a href="#">A1</a> Excavation Process Unit	<a href="#">S22</a> Segment of Matter
<a href="#">AP11</a>	has physical relation (is physical relation of)	<a href="#">A1</a> Stratigraphic Unit	<a href="#">A8</a> Stratigraphic Unit
<a href="#">AP12</a>	confines (is confined by)	<a href="#">A1</a> Stratigraphic Interface	<a href="#">A2</a> Stratigraphic Volume Unit
<a href="#">AP13</a>	has stratigraphic relation (is stratigraphic relation of)	<a href="#">A1</a> Stratigraphic Modification	<a href="#">A5</a> Stratigraphic Modification
<a href="#">AP14</a>	justified by	<a href="#">AP13</a> has stratigraphic relation	<a href="#">AP11</a> has physical relation
<a href="#">AP15</a>	is or contains remains of (is or has remains contained in)	<a href="#">A8</a> Stratigraphic Unit	<a href="#">E18</a> Physical Thing
<a href="#">AP16</a>	assigned attribute to (was attributed by)	<a href="#">A6</a> Group Declaration Event	<a href="#">A8</a> Stratigraphic Unit
<a href="#">AP17</a>	is found by (found)	<a href="#">E7</a> Embedding	<a href="#">S19</a> Encounter Event
<a href="#">AP18</a>	is embedding of (is embedded)	<a href="#">E7</a> Embedding	<a href="#">E18</a> Physical Thing
<a href="#">AP19</a>	is embedding in (contains embedding)	<a href="#">E7</a> Embedding	<a href="#">A2</a> Stratigraphic Volume Unit
<a href="#">AP20</a>	is embedding at (contains)	<a href="#">E7</a> Embedding	<a href="#">E53</a> Place





**Fig.3: Things in CRMarchaeo**

### 1.3.1 Class and property usage examples

The following examples are taken from the English Heritage Recording Manual [Harris 1989] and try to illustrate the use of classes and properties of CRMarchaeo to represent the entities and relations of documentation praxis in relation to Harris Matrix.

The stratigraphic sequence explains how the site was formed. For this example, we'll work backwards and explain how the site was formed to make determining the stratigraphic sequence easier. Focussing near the top of Figure 4, the post-hole [3] was dug and the post inserted, the hole was packed (18). Eventually the post rotted away, leaving a post-pipe [19], into which later material accumulated (2) (see Fig. 4).

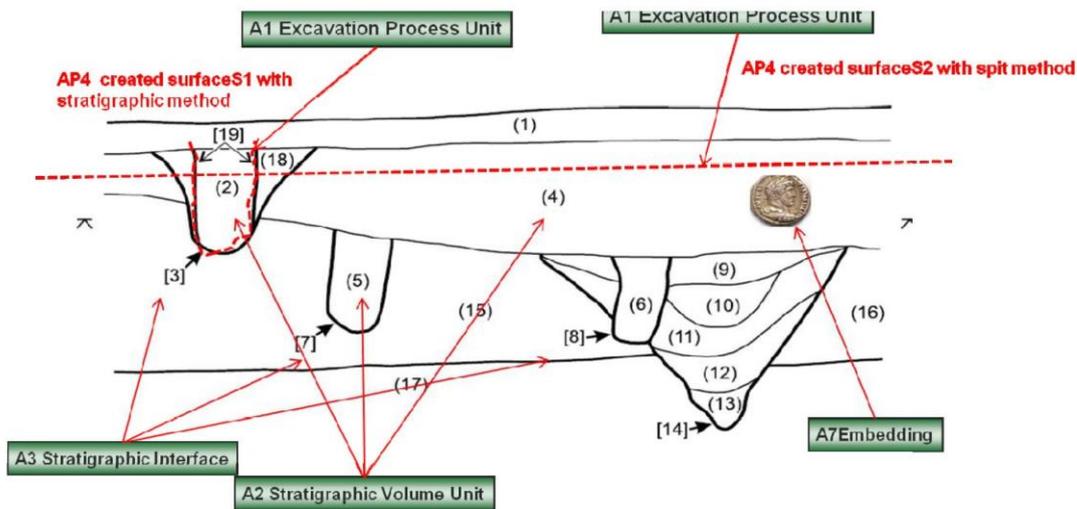


Fig.4: Section drawing with A3 Stratigraphic Interfaces in square brackets [], A2 Stratigraphic Volume Unit in round brackets (), the surfaces S1 and S2 created through A1 Excavation Process Units using different methodologies and an A7 Embedding of a coin

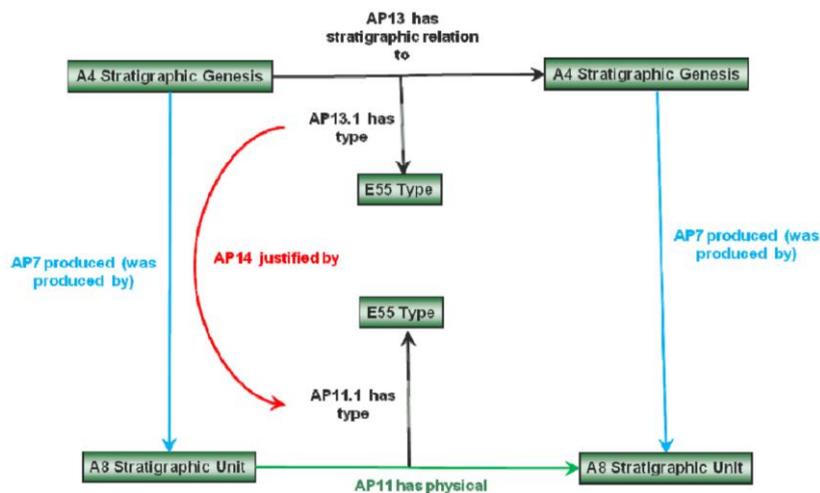


Fig.5: CRMarchaeo conceptualisation to represent stratigraphic relationships contained in Harris Matrix, being justified by physical relationships.



## 1.4 Excavation Model Class Declarations

The classes are comprehensively declared in this section using the following format:

- Class names are presented as headings in bold face, preceded by the class's unique identifier;
- The line "Subclass of:" declares the superclass of the class from which it inherits properties;
- The line "Superclass of:" is a cross-reference to the subclasses of this class;
- The line "Scope note:" contains the textual definition of the concept the class represents;
- The line "Examples:" contains a bulleted list of examples of instances of this class.
- The line "Properties:" declares the list of the class's properties;
- Each property is represented by its unique identifier, its forward name, and the range class that it links to, separated by colons;
- Inherited properties are not represented;
- Properties of properties, if they exist, are provided indented and in parentheses beneath their respective domain property.

## A1 Excavation Process Unit

Subclass of: [S1](#) Matter Removal  
[S4](#) Observation

Scope Note: This class comprises activities of excavating in the sense of archaeology which are documented as a coherent set of actions of progressively recording and removing matter from a pre-specified location under specific rules. Typically, an excavation process unit would be terminated if significant discontinuities of substance or finds come to light, or if the activity should be interrupted due to external factors, such as end of a working day. In other cases, the termination would be based on predefined physical specifications, such as the boundaries of a maximal volume of matter intended to be excavated in one unit of excavation.

Depending on the methodology, an instance of A1 Excavation Process Unit may intend to remove matter only within the boundaries of a particular stratigraphic unit, or it may follow a pre-declared spatial extent such as a trench. It may only uncover, clean or expose a structure or parts of it.

The process of excavation results in the production of a set of recorded (documentation) data that should be sufficient to provide researchers enough information regarding the consistence and spatial distribution of the excavated Segment of Matter and things and features embedded in it. Some parts or all of the removed physical material (S11 Amount of Matter) may be dispersed, whereas others may be kept in custody in the form of finds or samples, while others (such as parts of walls) may be left at the place of their discovery. The data produced by an instance of excavation process unit should pertain to the material state of matter at excavation time only and should well be distinguished from subsequent interpretation about the causes for this state of matter.

Examples:

- The activity taking place on 21.9.2007 between 12:00 and 13:00 that excavated the Stratigraphic Volume Unit (2) of Figure 4 and created the surface S1
- The activity that excavated the first 20 cm of a spit excavation on 21.7.2007 created the surface S2 in Figure 4.

In First Order Logic:

$A1(x) \supset S4(x)$

Properties:

[AP1](#) produced (was produced by): [S11](#) Amount of Matter

[AP2](#) discarded into (was discarded by): [S11](#) Amount of Matter

[AP3](#) excavated (was excavated by): [E53](#) Place

[AP4](#) produced surface (was surface produced by): [S20](#) Physical Feature

[AP5](#) removed part or all of (was partially or totally removed by): [A8](#) Stratigraphic Unit

[AP6](#) intended to approximate (was approximated by): [A3](#) Stratigraphic Interface

[AP10](#) destroyed (was destroyed by): [S22](#) Segment of Matter (Segment of Matter that happened to be at the Excavated Place)

## A2 Stratigraphic Volume Unit

Subclass of: [A8](#) Stratigraphic Unit

Scope Note: This class comprises connected portions of terrain or other solid structure on, in, or under the surface of earth or seafloor exhibiting some homogeneity of structure or substance and completely bounded by surfaces or discontinuities in substance or structure with respect to other portions of the terrain or surfaces of objects/finds.

An instance of A8 Stratigraphic Unit may contain physical objects. The internal continuity and the boundaries of an instance of A8 Stratigraphic Unit should be of a

kind that can be attributed to a single genesis event or process and have the potential to be observed.

One genesis event may have created more than one SU. An instance of A8 Stratigraphic Unit is regarded to exist as long as a part of its matter is still in place with respect to a surrounding reference space such that its spatial features can be associated with effects of the genesis process of interest. Normally at least one of the surfaces (such as the lower one) from its genesis event will remain during its existence.

This also implies that a certain degree of coherent (“conformal”) deformation is tolerable within its time-span of existence. Therefore the place an instance of A8 Stratigraphic Unit occupies can be uniquely identified with respect to the surrounding reference space of archaeological interest.

Examples:

The stratigraphic deposit unit number (2) of Figure 5 representing the filling of a post hole

### A3 Stratigraphic Interface

Subclass of: [A8 Stratigraphic Unit](#)

Scope Note: This class comprises coherent parts of the boundary surface, which appears as the result of a stratigraphic genesis event or process. The interface marks the extreme limit of the effect of a genesis or modification event, and indicates in particular where the effect of this event ended. Each event of creation/destruction of a deposition layer implies the creation of new interfaces. Thus there are two main types of interface: those that are surfaces of strata (that can be directly related to the corresponding stratum via the AP12 confines property), and those that are only surfaces, formed by the removal or destruction of existing stratifications.

Examples:

The Stratigraphic Interface number [19] confines the number (2) Stratigraphic Volume Unit, in Figure 5

Properties:

[AP12 confines \(is confined by\): A2 Stratigraphic Volume Unit](#)

### A4 Stratigraphic Genesis

Subclass of: [S17 Physical Genesis](#)  
[A5 Stratigraphic Modification](#)

Scope Note: This class comprises activities or processes that have produced homogeneous, distinguishable units of stratification that are in a relatively stable form from the time of their genesis until they are observed. Such processes may be the aggregation of cycles of erosion/destruction, deposit/accumulation, transformation/modification occurring on a particular site throughout a particular period of time. These processes are usually due not only to natural forces (i.e., climate, the impact of flora and fauna, other natural events), but also to human activities, in particular, excavation and construction. An event of stratification genesis typically produces two main forms of stratification units both a deposit and an interface.

Examples:

The cut in the pre-existing strata of the posthole in Figure 8 produced the stratigraphic interface number [3]; the filling of the posthole with detritus or some other matter produced stratigraphic unit number (18).

Properties:

[AP7 produced \(was produced by\): A8 Stratigraphic Unit](#)

[AP9 took matter from \(provided matter to\): S10 Material Substantial](#)

## A5 Stratigraphic Modification

Subclass of: [S18](#) Alteration

Scope Note: This class comprises activities or processes resulting in the modification of Stratigraphic Units after their genesis through A4 Stratigraphic Genesis Events.

Examples: The Event that eroded the number (1) Stratigraphic Volume Unit in Figure 4 and diminished it to its actual size

Properties: [AP8](#) disturbed (was disturbed by): [A8](#) Stratigraphic Unit  
[AP13](#) has stratigraphic relation (is stratigraphic relation of): [A5](#) Stratigraphic Modification

## A6 Group Declaration Event

Subclass of: [S5](#) Inference Making

Scope Note: This class comprises activities resulting in the assignment of a common attribute to several Stratigraphic Units. This may be due to an archaeologists interpretation of them being part of one physical thing, like postholes being part of one building.

Examples: The excavator declared the post holes [7] and [8] in Figure 4 to be part of one building

Properties: [AP16](#) assigned attribute to (was attributed by): [A8](#) Stratigraphic Unit  
[P141](#) assigned: [E18](#) Physical Thing

## A7 Embedding

Subclass of: [S16](#) State

Superclass of:

Scope Note: This class comprises the states of instances of E18 Physical Things of being partially or completely embedded at a particular position with relative stability in one or more A2 Stratigraphic Volume Units. Normally, an embedding is expected having been stable from the time of generation on of the first A2 Stratigraphic Volume Unit that surrounds it. However, it may also be due to later intrusion. As an empirical fact, the expert may only be able to decide that a particular embedding is not recent, i.e., has been persisting for longer than the activity that encountered it. This class can be used to document the fact of embedding generally with respect to the surrounding matter or more specifically with respect to a more precise position within this matter. It further allows for specifying temporal bounds for which a particular embedding has been existing as specified according to evidence.

Examples: The excavator declared the post holes [7] and [8] in Figure 4 to be part of one building

Properties: [AP17](#) is found by (found): [S19](#) Encounter Event  
[AP18](#) is embedding of (is embedded): [E18](#) Physical Thing  
[P19](#) is embedding in (contains embedding): [A2](#) Stratigraphic Volume Unit  
[AP20](#) is embedding at (contains): [E53](#) Place

## A8 Stratigraphic Unit

Subclass of: [S20](#) Physical Feature

Scope Note: This class comprises S20 Physical Features that are either A2 Stratigraphic Volume Units or A3 Stratigraphic Interfaces

Examples: The excavator declared the post holes [7] and [8] in Figure 4 to be part of one building

Properties:

[AP11](#) has physical relation (is physical relation of): [A8](#) Stratigraphic Unit

[AP15](#) is or contains remains of (is or has remains contained in): [E18](#) Physical Thing

## A9 Archaeological Excavation

Subclass of: [S1](#) Matter Removal  
[S4](#) Observation

Scope Note: This class describes the general concept of archaeological excavation intended as a coordinated set of excavation process units (A1) performed on an area considered as part of a broader topographical, rural, urban, or monumental context. An archaeological excavation typically takes place in a predefined geographic area specifically defined after an investigation campaign or based on interpretation of sources, or evidenced by a different activity (such as: preparatory works for urban construction, rescue archaeology and similar) and is carried out according with specific authorisations provided by a competent authority. A specific identifier for each archaeological excavation is usually assigned by the same authority. The set of activities is not limited to matter removals but also comprises siblings activities, happening throughout the whole process, intended for observation and/or consolidation of the excavated strata. The archaeological excavation is usually under the responsibility of a coordinator, officially designated, which is legally and scientifically responsible for all the activities carried out within each of the excavation process units and is also responsible for the documentation of the whole process

Properties:

[P9](#) consists of (forms part of): [A1](#) Excavation Process Unit

[P20](#) had specific purpose (was purpose of) [E55](#) Type

## 1.4 Excavation Property Declarations

The properties are comprehensively declared in this section using the following format:

- Property names are presented as headings in bold face, preceded by unique property identifiers;
- The line “Domain:” declares the class for which the property is defined;
- The line “Range:” declares the class to which the property points, or that provides the values for the property;
- The line “Superproperty of:” is a cross-reference to any subproperties the property may have;
- The line “Scope note:” contains the textual definition of the concept the property represents;
- The line “Examples:” contains a bulleted list of examples of instances of this property.

### **AP1 produced (was produced by)**

Domain: [A1](#) Excavation Process Unit

Range: [S11](#) Amount of Matter

Quantification: one to many (0,n:0,1)

Scope note: This property identifies the S11 Amount of Matter, e.g. a basket, that is preserved (part or total of) from an A1 Excavation Process Unit for further examination or evidence keeping.

Examples:

- The Excavation Process Unit excavating the Stratigraphic Volume Unit (2) produced an amount of black turf with wood inclusions

In First Order Logic:

$AP1(x,y) \supset A1(x)$

$AP11(x,y) \supset S11(y)$

Properties:

### **AP2 discarded into (was discarded by)**

Domain: [A1](#) Excavation Process Unit

Range: [S11](#) Amount of Matter

Quantification: one to many (0,n:0,1)

Scope note: This property identifies the S11 Amount of Matter (e.g. a heap) into which material from an A1 Excavation Process Unit is discarded.

Examples:

The Excavation Process Unit excavating the Stratigraphic Volume Unit (2) discarded an amount of matter into the waste heap of the excavation

In First Order Logic:

Properties:

### **AP3 excavated (was excavated by)**

Domain: [A1](#) Excavation Process Unit

Range: [E53](#) Place

Quantification: one to many (0,n:0,1)

Scope note: This property identifies the 3D excavated volume instance of E53 Place, i.e., a three-dimensional volume, that was actually excavated during an A1 Excavation Process Unit.

Examples:

The Excavation Process Unit excavating the Stratigraphic Volume Unit (2) excavated the place where the Stratigraphic Volume Unit (2) was.

In First Order Logic:

Properties:

#### **AP4 produced surface (was surface produced by)**

Domain: [A1](#) Excavation Process Unit

Range: [S20](#) Physical Feature

Quantification: one to many (0,n:0,1)

Scope note: This property identifies the instance of S20 Physical Feature that constitutes the new surface produced during an A1 Excavation Process Unit in the excavated area. Frequently this surface or parts of it are documented through drawing and/or measured by technical means such as photography, tachymetry or laser scanning.

Examples:

The stratigraphic Excavation Process Unit excavating the Stratigraphic Volume Unit (2) produced surface S1.

In First Order Logic:

Properties:

#### **AP5 removed part or all of (was partially or totally removed by)**

Domain: [A1](#) Excavation Process Unit

Range: [A8](#) Stratigraphic Unit

Quantification: one to many (0,n:0,1)

Scope note: This property identifies the instance of A8 Stratigraphic Unit that was cut during an A1 Excavation Process Unit.

Examples:

The spit Excavation Process Unit producing surface S2 cut Stratigraphic Units [3],[18],[19],[2] and (4)

In First Order Logic:

Properties:

#### **AP6 intended to approximate (was approximated by)**

Domain: [A1](#) Excavation Process Unit

Range: [A3](#) Stratigraphic Interface

Subproperty of: [S4](#) Observation, [O8](#) observed: [S15](#) Observable Entity

Quantification: one to many (0,n:0,1)

Scope note: This property identifies the [A3](#) Stratigraphic Interface that was intended to approximate during an [A1](#) Excavation Process Unit. This property should be assigned when a stratigraphic excavation methodology is used. It enables the linkage of the surface produced by an A1 Excavation Process Unit and an A3 Stratigraphic Interface.

Examples:

The stratigraphic Excavation Process Unit excavating the Stratigraphic Volume Unit (2) intended to approximate Stratigraphic Interface [19].

In First Order Logic:

Properties:

### **AP7 produced (was produced by)**

Domain: [A4](#) Stratigraphic Genesis  
Range: [A3](#) Stratigraphic Interface  
Subproperty of: [S17](#) Physical Genesis; [O17](#) generated: [E18](#) Physical Thing

Quantification: one to many (0,n:0,1)

Scope note: This property identifies the A8 Stratigraphic Unit that was produced during an A4 Stratigraphic Genesis Event.

Examples:  
The stratigraphic Excavation Process Unit excavating the Stratigraphic Volume Unit (2) intended to approximate Stratigraphic Interface [19].

In First Order Logic:

Properties:

### **AP8 disturbed (was disturbed by)**

Domain: [A4](#) Stratigraphic Genesis  
Range: [A3](#) Stratigraphic Interface

Quantification: one to many (0,n:0,1)

Scope note: This property identifies an A8 Stratigraphic Unit that was disturbed through an A5 Stratigraphic Modification. One A5 Stratigraphic Modification may disturb several A8 Stratigraphic Units.

### **AP9 took matter from (provided matter to)**

Domain: [A4](#) Stratigraphic Genesis  
Range: [S10](#) Material Substantial  
Quantification: one to many (0,n:0,1)

Scope note: This property identifies the S10 Material Substantial from where matter was taken from during an A4 Stratigraphic Genesis Event.

### **AP10 destroyed (was destroyed by)**

Domain: [A1](#) Excavation Process Unit  
Range: [S22](#) Segment of Matter  
Subproperty of: [E6](#) Destruction; [P13](#) destroyed (was destroyed by): [E18](#) Physical Thing

Quantification: one to many (0,n:0,1)

### **AP11 has physical relation (is physical relation of)**

Domain: [A8](#) Stratigraphic Unit  
Range: [A8](#) Stratigraphic Unit

Quantification: one to many (0,n:0,1)

Scope note: This property identifies the physical relationship between two A8 Stratigraphic Units. The type of physical relationships found between stratigraphic units in archaeological documentation is documented through the property AP 11.1 has type

Properties: [AP11.1](#) has type: [E55](#) Type

### **AP12 confines (is confined by)**

Domain: [A3](#) Stratigraphic Interface

Range: [A2](#) Stratigraphic Volume Unit

Scope note: This property identifies partly or completely the surface ([A3](#) Stratigraphic Interface) of an [A2](#) Stratigraphic Volume Unit. One [A3](#) Stratigraphic Interface may confine two or more [A2](#) Stratigraphic Volume Units.

### **AP13 has stratigraphic relation (is stratigraphic relation of)**

Domain: [A8](#) Stratigraphic Unit

Range: [A8](#) Stratigraphic Unit

Subproperty of:

Superproperty of:

Quantification: one to many (0,n:0,1)

Scope note: This property identifies the stratigraphic relation between two [A5](#) Stratigraphic modification events. This relation may be inferred from the kind of physical relation that exists between the two [AP 8](#) Stratigraphic Units that have been created or modified during the corresponding [A5](#) Stratigraphic Modification events. The type of stratigraphic relationships in archaeological documentation assigned to two [A5](#) Stratigraphic Modification events is documented through the property [AP 13.1](#) has type.

Properties: [AP13.1](#) has type: [E55](#) Type [AP14](#) justified by: [AP11.1](#) has type (type of physical relation)

### **AP14 justified by (is justification of)**

Domain: [AP13.1](#) has type (type of stratigraphic relation)

Range: [AP11.1](#) has type (type of physical relation)

Quantification: one to many (0,n:0,1)

Scope note: This property identifies the type of physical relation that was used to justify the type of stratigraphic relation assigned to the relation between two [E5](#) Stratigraphic Modification events. Physical relations of “above” or “fills” may justify the stratigraphic relation type “after”. Figure 7 gives a graphical representation and Figure 6 shows an example.

### **AP15 is or contains remains of (is or has remains contained in)**

Domain: [A8](#) Stratigraphic Unit

Range: [E18](#) Physical Thing

Quantification: one to many (0,n:0,1)

Scope note: This property associates an [E18](#) Physical Thing that is found within an [A8](#) Stratigraphic Unit with the stratigraphic unit. This property is a shortcut for the fully articulated path from [E18](#) Physical Thing through [A7](#) Embedding to [A8](#) Stratigraphic Unit.

### **AP16 assigned attribute to (was attributed by)**

Domain: [A6](#) Group Declaration Event

Range: [A8](#) Stratigraphic Unit

Subproperties: [E13](#) Attribute Assignment. [P140](#) assigned attribute to (was attributed by): [E1](#) CRM Entity

Quantification: one to many (0,n:0,1)

Scope note: This property indicates the Stratigraphic Unit that was assigned by an [A6](#) Group Declaration Event.

#### **AP17 is found by (found)**

Domain: [A7](#) Embedding

Range: [S19](#) Encounter Event

Quantification: one to many (0,n:0,1)

Scope note: This property associates an instance of S19 Encounter Event with an instance of A7 Embedding that has been found during this even.

#### **AP18 is embedding of (is embedded)**

Domain: [A7](#) Embedding

Range: [E18](#) Physical Thing

Quantification: one to many (0,n:0,1)

Scope note: This property identifies the E18 Physical Thing that is contained in an A7 Embedding.

#### **AP19 is embedding in (contains embedding)**

Domain: [A7](#) Embedding

Range: [A2](#) Stratigraphic Volume Unit

Quantification: one to many (0,n:0,1)

Scope note: This property identifies the A2 Stratigraphic Volume Unit that contains the A7 Embedding.

#### **AP20 is embedding at (contains)**

Domain: [A7](#) Embedding

Range: [E53](#) Place

Quantification: one to many (0,n:0,1)

Scope note: This property identifies the E53 Place that is documented as the E53 Place of the A7 Embedding.

## 1.5 Referred to CIDOC CRM Classes and properties

Since Excavation Model refers to and reuses, wherever appropriate, large parts of the CIDOC Conceptual Reference Model, this section provides a comprehensive list of all constructs used from CIDOC CRM, together with their definitions following the CIDOC CRM ver6.2, May 2015 *maintained by CIDOC CRM - SIG. 1.5.1 CIDOC CRM Classes*

### 1.5.1 CIDOC CRM Classes

#### E1 CRM Entity

Superclass of: [E2](#) Temporal Entity  
[E52](#) Time-Span  
[E53](#) Place  
[E54](#) Dimension  
[E77](#) Persistent Item  
[E92](#) Spacetime Volume

Scope note: This class comprises all things in the universe of discourse of the CIDOC Conceptual Reference Model.

It is an abstract concept providing for three general properties:

1. Identification by name or appellation, and in particular by a preferred identifier
2. Classification by type, allowing further refinement of the specific subclass an instance belongs to
3. Attachment of free text for the expression of anything not captured by formal properties

With the exception of E59 Primitive Value, all other classes within the CRM are directly or indirectly specialisations of E1 CRM Entity.

Examples:

- the earthquake in Lisbon 1755 (E5)

In First Order Logic:

E1(x)

Properties:

[P1](#) is identified by (identifies): [E41](#) Appellation  
[P2](#) has type (is type of): [E55](#) Type  
[P3](#) has note: [E62](#) String  
(P3.1 has type: [E55](#) Type)  
[P48](#) has preferred identifier (is preferred identifier of): [E42](#) Identifier  
[P137](#) exemplifies (is exemplified by): [E55](#) Type  
(P137.1 in the taxonomic role: [E55](#) Type)

#### E6 Destruction

Subclass of: [E64](#) End of Existence

Scope note: This class comprises events that destroy one or more instances of E18 Physical Thing such that they lose their identity as the subjects of documentation. Some destruction events are intentional, while others are independent of human activity. Intentional destruction may be documented by classifying the event as both an E6 Destruction and E7 Activity.

The decision to document an object as destroyed, transformed or modified is context sensitive:

1. If the matter remaining from the destruction is not documented, the event is modelled solely as [E6](#) Destruction.
2. An event should also be documented using [E81](#) Transformation if it results in the destruction of one or more objects and the simultaneous production of others using parts or material from the original. In this case, the new items have separate identities. Matter is preserved, but identity is not.
3. When the initial identity of the changed instance of [E18](#) Physical Thing is preserved, the event should be documented as [E11](#) Modification.

Examples:

- the destruction of Herculaneum by volcanic eruption in 79 AD
- the destruction of Nineveh (E6, E7)
- the breaking of a champagne glass yesterday by my dog

Properties: [P13](#) destroyed (was destroyed by): [E18](#) Physical Thing

Properties:

[P87](#) is identified by (identifies): [E44](#) Place Appellation  
[P89](#) falls within (contains): [E53](#) Place  
[P121](#) overlaps with: [E53](#) Place  
[P122](#) borders with: [E53](#) Place  
[P157](#) is at rest relative to (provides reference space for): [E18](#) Physical Thing  
[P168](#) place is defined by (defines place) : [E94](#) Space Primitive

### **E13 Attribute Assignment**

Subclass of: [E7](#) Activity

Superclass of:

[E14](#) Condition Assessment  
[E15](#) Identifier Assignment  
[E16](#) Measurement  
[E17](#) Type Assignment  
[E91](#) Co-Reference Assignment  
[S4](#) Observation [S5](#) Inference Making

Scope note:

This class comprises the actions of making assertions about properties of an object or any relation between two items or concepts.

This class allows the documentation of how the respective assignment came about, and whose opinion it was. All the attributes or properties assigned in such an action can also be seen as directly attached to the respective item or concept, possibly as a collection of contradictory values. All cases of properties in this model that are also described indirectly through an action are characterised as "short cuts" of this action. This redundant modelling of two alternative views is preferred because many implementations may have good reasons to model either the action or the short cut, and the relation between both alternatives can be captured by simple rules.

In particular, the class describes the actions of people making propositions and statements during certain museum procedures, e.g. the person and date when a condition statement was made, an identifier was assigned, the museum object was measured, etc. Which kinds of such assignments and statements need to be documented explicitly in structures of a schema rather than free text, depends on if this information should be accessible by structured queries.

Examples:

The assessment of the current ownership of Martin Doerr's silver cup in February 1997

Properties:

[P140](#) assigned attribute to (was attributed by): [E1](#) CRM Entity

[P141](#) assigned (was assigned by): [E1](#) CRM Entity

## E18 Physical Thing

Subclass of:

[S10](#) Material Substantial

[E72](#) Legal Object

Superclass of:

[E19](#) Physical Object

[E24](#) Physical Man-Made Thing

[E26](#) Physical Feature / [S20](#) Physical Feature

Scope Note:

This class comprises all persistent physical items with a relatively stable form, man-made or natural.

Depending on the existence of natural boundaries of such things, the CRM distinguishes the instances of [E19](#) Physical Object from instances of [E26](#) Physical Feature, such as holes, rivers, pieces of land etc. Most instances of [E19](#) Physical Object can be moved (if not too heavy), whereas features are integral to the surrounding matter.

The CRM is generally not concerned with amounts of matter in fluid or gaseous states.

Examples:

- the Cullinan Diamond ([E19](#))
- the cave “IdeonAndron” in Crete ([E26](#))
- the Mona Lisa ([E22](#))

Properties:

[P44](#) has condition (is condition of): [E3](#) Condition State

[P45](#) consists of (is incorporated in): [E57](#) Material

[P46](#) is composed of (forms part of): [E18](#) Physical Thing

[P49](#) has former or current keeper (is former or current keeper of): [E39](#) Actor

[P50](#) has current keeper (is current keeper of): [E39](#) Actor

[P51](#) has former or current owner (is former or current owner of): [E39](#) Actor

[P52](#) has current owner (is current owner of): [E39](#) Actor

[P53](#) has former or current location (is former or current location of): [E53](#) Place

[P58](#) has section definition (defines section): [E46](#) Section Definition

[P59](#) has section (is located on or within): [E53](#) Place

## E26 Physical Feature

Subclass of: [E18](#) Physical Thing

Superclass of:

[E25](#) Man-Made Feature

[E27](#) Site

[S22](#) Segment of Matter

Scope Note:

This class comprises identifiable features that are physically attached in an integral way to particular physical objects.

Instances of [E26](#) Physical Feature share many of the attributes of instances of [E19](#) Physical Object. They may have a one-, two- or three-dimensional geometric extent, but there are no natural borders that separate them completely in an objective way from the carrier objects. For example, a doorway is a feature but the door itself, being attached by hinges, is not.

Instances of [E26](#) Physical Feature can be features in a narrower sense, such as scratches, holes, reliefs, surface colours, reflection zones in an opal crystal or a density change in a piece of wood. In the wider sense, they are portions of particular objects with partially imaginary borders, such as the core of the Earth, an area of property on the surface of the Earth, a landscape or the head of a contiguous marble statue. They can be measured and dated, and it is sometimes possible to state who or what is or was responsible for them. They cannot be separated from the carrier object, but a segment of the carrier object may be identified (or sometimes removed) carrying the complete feature. This definition coincides with the definition of "fiat objects" (Smith & Varzi, 2000, pp.401- 420), with the exception of aggregates of "bona fide objects".

Examples:

- the temple in Abu Simbel before its removal, which was carved out of solid rock
- Albrecht Durer's signature on his painting of Charles the Great
- the damage to the nose of the Great Sphinx in Giza □ Michael Jackson's nose prior to plastic surgery

### **E29 Design or Procedure**

Subclass of: [E73](#) Information Object

Scope note:

This class comprises documented plans for the execution of actions in order to achieve a result of a specific quality, form or contents. In particular it comprises plans for deliberate human activities that may result in the modification or production of instances of [E24](#) Physical Thing.

Instances of [E29](#) Design or Procedure can be structured in parts and sequences or depend on others. This is modelled using [P69](#) has association with (is associated with).

Designs or procedures can be seen as one of the following:

1. A schema for the activities it describes
2. A schema of the products that result from their application.
3. An independent intellectual product that may have never been applied, such as Leonardo da Vinci's famous plans for flying machines.

Because designs or procedures may never be applied or only partially executed, the CRM models a loose relationship between the plan and the respective product.

Examples:

- the ISO standardisation procedure
- the musical notation for Beethoven's "Ode to Joy"
- the architectural drawings for the Kölner Dom in Cologne, Germany
- the drawing on the folio 860 of the Codex Atlanticus from Leonardo da Vinci, 1486- 1490, kept in the Biblioteca Ambrosiana in Milan

Properties:

[P68](#) foresees use of (use foreseen by): [E57](#) Material

[P69](#) has association with (is associated with): [E29](#) Design or Procedure ([P69.1](#) has type: [E55](#) Type)

### **E53 Place**

Subclass of: [E1](#) CRM Entity

Scope note: This class comprises extents in space, in particular on the surface of the earth, in the pure sense of physics: independent from temporal phenomena and matter.

The instances of [E53](#) Place are usually determined by reference to the position of "immobile" objects such as buildings, cities, mountains, rivers, or dedicated geodetic marks. A Place can be determined by combining a frame of reference and a location

with respect to this frame. It may be identified by one or more instances of [E44](#) Place Appellation.

It is sometimes argued that instances of [E53](#) Place are best identified by global coordinates or absolute reference systems. However, relative references are often more relevant in the context of cultural documentation and tend to be more precise. In particular, we are often interested in position in relation to large, mobile objects, such as ships. For example, the Place at which Nelson died is known with reference to a large mobile object – H.M.S Victory. A resolution of this Place in terms of absolute coordinates would require knowledge of the movements of the vessel and the precise time of death, either of which may be revised, and the result would lack historical and cultural relevance.

Any object can serve as a frame of reference for [E53](#) Place determination. The model foresees the notion of a "section" of an [E19](#) Physical Object as a valid [E53](#) Place determination.

Examples:

- the extent of the UK in the year 2003
- the position of the hallmark on the inside of my wedding ring
- the place referred to in the phrase: “Fish collected at three miles north of the confluence of the Arve and the Rhone”
- here -> <-

In First Order Logic:

$E53(x) \supset E1(x)$

## E55 Type

Subclass of: [E28](#) Conceptual Object

Superclass of:

[E56](#) Language  
[E57](#) Material  
[E58](#) Measurement Unit  
[S9](#) Property Type

Scope note:

This class comprises concepts denoted by terms from thesauri and controlled vocabularies used to characterize and classify instances of CRM classes. Instances of [E55](#) Type represent concepts in contrast to instances of [E41](#) Appellation which are used to name instances of CRM classes.

[E55](#) Type is the CRM’s interface to domain specific ontologies and thesauri. These can be represented in the CRM as subclasses of [E55](#) Type, forming hierarchies of terms, i.e. instances of [E55](#) Type linked via [P127](#) has broader term (has narrower term). Such hierarchies may be extended with additional properties.

Examples:

- weight, length, depth [types of [E54](#)]
- portrait, sketch, animation [types of [E38](#)]
- French, English, German [[E56](#)]
- excellent, good, poor [types of [E3](#)]
- Ford Model T, chop stick [types of [E22](#)]
- cave, doline, scratch [types of [E26](#)]
- poem, short story [types of [E33](#)]
- wedding, earthquake, skirmish [types of [E5](#)]

Properties:

[P127](#) has broader term (has narrower term): [E55](#) Type

[P150](#) defines typical parts of(define typical wholes for): [E55](#) Type

## 1.5.2 CIDOC CRM Properties

This section contains the complete definitions of the properties of the CIDOC CRM Conceptual Reference Model vers. 6.2 May, 2015 referred to by Excavation Model

### P9 consists of (forms part of)

Domain: [E4](#) Period

Range: [E4](#) Period

Subproperty of: [E92](#) Spacetime Volume. [P10i](#) contains: [E92](#) Spacetime Volume

Quantification: one to many, (0,n:0,1)

Scope note: This property associates an instance of E4 Period with another instance of E4 Period that is defined by a subset of the phenomena that define the former. Therefore the spacetime volume of the latter must fall within the spacetime volume of the former. This property is transitive.

Examples:

- Cretan Bronze Age (E4) *consists of* Middle Minoan (E4)

In First Order Logic:

$P9(x,y) \supset E4(x)$

$P9(x,y) \supset E4(y)$

$P9(x,y) \supset P10(y,x)$

### P13 destroyed (was destroyed by)

Domain: [E6](#) Destruction

Range: [E18](#) Physical Thing

Subproperty of: [E64](#) End of Existence. [P93](#) took out of existence (was taken out of existence by): [E77](#) Persistent Item

Quantification: one to many, necessary (1,n:0,1)

Scope note: This property allows specific instances of E18 Physical Thing that have been destroyed to be related to a destruction event. Destruction implies the end of an item's life as a subject of cultural documentation – the physical matter of which the item was composed may in fact continue to exist. A destruction event may be contiguous with a Production that brings into existence a derived object composed partly of matter from the destroyed object.

Examples:

- the Tay Bridge Disaster (E6) *destroyed* The Tay Bridge (E22)

In First Order Logic:

$P13(x,y) \supset E6(x)$

$P13(x,y) \supset E18(y)$

$P13(x,y) \supset P93(x,y)$

### P14 carried out by (performed)

Domain: [E7](#) Activity

Range: [E39](#) Actor

Subproperty of: [E5](#) Event. [P11](#) had participant (participated in): [E39](#) Actor

Superproperty of: [E8](#) Acquisition. [P22](#) transferred title to (acquired title through): [E39](#) Actor

[E8](#) Acquisition. [P23](#) transferred title from (surrendered title through): [E39](#) Actor

[E10](#) Transfer of Custody. [P28](#) custody surrendered by (surrendered custody through):

[E39](#) Actor

[E10](#) Transfer of Custody. [P29](#) custody received by (received custody through): [E39](#)  
Actor

Quantification: many to many, necessary (1,n:0,n)

Scope note: This property describes the active participation of an [E39](#) Actor in an [E7](#) Activity.

It implies causal or legal responsibility. The *P14.1 in the role of* property of the property allows the nature of an Actor's participation to be specified.

Examples:

- the painting of the Sistine Chapel ([E7](#)) *carried out by* Michaelangelo Buonaroti ([E21](#)) *in the role of* master craftsman ([E55](#))

In First Order Logic:

$P14(x,y) \supset E7(x)$

$P14(x,y) \supset E39(y)$

$P14(x,y) \supset P11(x,y)$

$P14(x,y,z) \supset [P14(x,y) \wedge E55(z)]$

Properties: [P14.1](#) in the role of: [E55](#) Type

### **P19 was intended use of (was made for):**

Domain: [E7](#) Activity

Range: [E71](#) Man-Made Thing

Quantification: many to many (0,n:0,n)

Scope note: This property relates an [E7](#) Activity with objects created specifically for use in the activity.

This is distinct from the intended use of an item in some general type of activity such as the book of common prayer which was intended for use in Church of England services (see *P101 had as general use (was use of)*).

Examples:

- Lady Diana Spencer's wedding dress ([E71](#)) *was made for* Wedding of Prince Charles and Lady Diana Spencer ([E7](#)) *mode of use* To Be Worn ([E55](#))

In First Order Logic:

$P19(x,y) \supset E7(x)$

$P19(x,y) \supset E71(y)$

$P19(x,y,z) \supset [P19(x,y) \wedge E55(z)]$

Properties: [P19.1](#) mode of use: [E55](#) Type

### **P20 had specific purpose (was purpose of)**

Domain: [E7](#) Activity

Range: [E5](#) Event

Quantification: many to many (0,n:0,n)

Scope note: This property identifies the relationship between a preparatory activity and the event it is intended to be preparation for.

This includes activities, orders and other organisational actions, taken in preparation for other activities or events.

*P20 had specific purpose (was purpose of)* implies that an activity succeeded in achieving its aim. If it does not succeed, such as the setting of a trap that did not catch anything, one may document the unrealized intention using *P21 had general purpose (was purpose of):E55 Type* and/or *P33 used specific technique (was used by): E29 Design or Procedure*.

Examples:

- Van Eyck's pigment grinding in 1432 (E7) *had specific purpose* the painting of the Ghent altar piece (E12)

In First Order Logic:

$P21(x,y) \supset E7(x)$

$P21(x,y) \supset E55(y)$

### **P140 assigned attribute to (was attributed by)**

Domain: [E13](#) AttributeAssignment

Range: [E1](#) CRM Entity

Superproperty of:

[E14](#) Condition Assessment. [P34](#) concerned (was assessed by): [E18](#) Physical Thing  
[E16](#) Measurement. [P39](#) measured (was measured by): [E70](#) Thing  
[E17](#) Type Assignment. P41 classified (was classified by): [E1](#) CRM Entity  
[S4](#) Observation. [O8](#) observed (was observed by): [S15](#) Observable Entity

Quantification: many to many (0,n:0,n)

Scope note: This property indicates the item to which an attribute or relation is assigned.

Examples:

- February 1997 Current Ownership Assessment of Martin Doerr's silver cup ([E13](#)) assigned attribute to Martin Doerr's silver cup ([E19](#))
- 01 June 1997 Identifier Assignment of the silver cup donated by Martin Doerr ([E15](#)) assigned attribute to silver cup 232 ([E19](#))

### **P141 assigned (was assigned by)**

Domain: [E13](#) Attribute Assignment

Range: [E1](#) CRM Entity

Superproperty of: [E14](#) Condition Assessment. [P35](#) has identified (identified by): [E3](#) Condition State  
[E15](#) Identifier Assignment. [P37](#) assigned (was assigned by): [E42](#) Identifier  
[E15](#) Identifier Assignment. [P38](#) deassigned (was deassigned by): [E42](#) Identifier  
[E16](#) Measurement. [P40](#) observed dimension (was observed in): [E54](#) Dimension  
[E17](#) Type Assignment. [P42](#) assigned (was assigned by): [E55](#) Type

Quantification: many to many (0,n:0,n)

Scope note: This property indicates the attribute that was assigned or the item that was related to the item denoted by a property P140 assigned attribute to in an Attribute assignment action.

Examples:

- February 1997 Current Ownership Assessment of Martin Doerr's silver cup (E13) *assigned* Martin Doerr (E21)
- 01 June 1997 Identifier Assignment of the silver cup donated by Martin Doerr (E15) *assigned* object identifier 232

In First Order Logic:

$P141(x,y) \supset E13(x)$

$P141(x,y) \supset E1(y)$

## 1.6 Referred to Scientific Observation Model Classes and properties

Since Excavation Model refers to and reuses, wherever appropriate, large parts of Scientific Observation Model this section provides a comprehensive list of all constructs used from that model, together with their definitions following the CRM*sci*, together with their definitions following version 1.2 maintained by FORTH.

### 1.6.1 CRM*sci* Classes

This section contains the complete definitions of the classes of the Scientific Observation Model referred to by Excavation Model

#### S4 Observation

Subclass of: [E13](#) Attribute Assignment

Superclass of:

[S21](#) Measurement

[S19](#) Encounter Event

[A1](#) Excavation Process Unit

Scope note:

This class comprises the activity of gaining scientific knowledge about particular states of physical reality gained by empirical evidence, experiments and by measurements. We define observation in the sense of natural sciences, as a kind of human activity: at some Place and within some Time-Span, certain Physical Things and their behavior and interactions are observed, either directly by human sensory impression, or enhanced with tools and measurement devices. The output of the internal processes of measurement devices that do not require additional human interaction are in general regarded as part of the observation and not as additional inference. Manual recordings may serve as additional evidence. Measurements and witnessing of events are special cases of observations. Observations result in a belief about certain propositions. In this model, the degree of confidence in the observed properties is regarded to be “true” per default, but could be described differently by adding a property *P3 has note* to an instance of [S4](#) Observation, or by reification of the property *O16 observed value*. Primary data from measurement devices are regarded in this model to be results of observation and can be interpreted as propositions believed to be true within the (known) tolerances and degree of reliability of the device. Observations represent the transition between reality and propositions in the form of instances of a formal ontology, and can be subject to data evaluation from this point on.

Properties:

[O8](#) observed (was observed by): [S15](#) Observable Entity

[O9](#) observed property type (property type was observed by): [S9](#) Property Type

[O16](#) observed value (value was observed by): [E1](#) CRM Entity

#### S5 Inference Making

Subclass of: [E13](#) Attribute Assignment

Superclass of: [S6](#) Data Evaluation

[S7](#) Simulation or Prediction

[S8](#) Categorical Hypothesis Building

Scope note: This class comprises the action of making propositions and statements about particular states of affairs in reality or in possible realities or categorical descriptions

of reality by using inferences from other statements based on hypotheses and any form of formal or informal logic. It includes evaluations, calculations, and interpretations based on mathematical formulations and propositions.

In First Order Logic:

$$S5(x) \supset E13(x)$$

Properties:

### **S10 Material Substantial**

Subclass of: [E70](#) Thing

Superclass of:

[S14](#) Fluid Body  
[S11](#) Amount of Matter  
[E18](#) Physical Thing

Scope note:

This class comprises constellations of matter with a relative stability of any form sufficient to associate them with a persistent identity, such as being confined to certain extent, having a relative stability of form or structure, or containing a fixed amount of matter. In particular, it comprises physical things in the narrower sense and fluid bodies. It is an abstraction of physical substance for solid and non-solid things of matter.

Properties:

[P44](#) has condition (is condition of): [E3](#) Condition State  
[P45](#) consists of (is incorporated in): [E57](#) Material  
[P46](#) is composed of (forms part of): [S10](#) Material Substantial  
[O15](#) occupied (was occupied by): [E53](#) Place

### **S11 Amount of Matter**

Subclass of: [S10](#) Material Substantial

Superclass of:

[S12](#) Amount of Fluid  
[S13](#) Sample

Scope note:

This class comprises fixed amounts of matter specified as some air, some water, some soil, etc., defined by the total and integrity of their material content.

### **S15 Observable Entity**

Subclass of: [E1](#) CRM Entity

Superclass of: [E2](#) Temporal Entity  
[E77](#) Persistent Item

Scope note:

This class comprises instances of [E2](#) Temporal Entity or [E77](#) Persistent Item, i.e. items or phenomena that can be observed, either directly by human sensory impression, or enhanced with tools and measurement devices, such as physical things, their behavior, states and interactions or events.

Conceptual objects can be present in events by their carriers such as books, digital media, or even human memory. By virtue of this presence, properties of conceptual objects, such as number of words can be observed on their carriers. If the respective properties between carriers differ, either they carry different instances of conceptual objects or the difference can be attributed to accidental deficiencies in one of the carriers. In that sense even immaterial objects are observable. By this model we give credit to the fact that frequently, the actually observed carriers of conceptual objects are not explicitly identified in documentation, i.e., the actual carrier is assumed having existed but is unknown as an individual.

In First Order Logic:

$$S15(x) \supset E1(x)$$

Properties:

[O12](#) has dimension (is dimension of): [E54](#) Dimension

### **S16 State**

Subclass of: [E2](#) Temporal Entity

Superclass of: [E3](#) Condition State

Scope note:

This class comprises the persistence of a particular value range of the properties of a particular thing or things over a time-span

### **S17 Physical Genesis**

Subclass of:

[E63](#) Beginning of Existence  
[S18](#) Alteration

Superclass of:

[E12](#) Production  
[A4](#) Stratigraphic Genesis

Scope note:

This class comprises events or processes that result in (generate) physical things, man-made or natural, coming into being in the form by which they are later identified. The creation of a new physical item, at the same time, can be a result of an alteration (modification) – it can become a new thing due to an alteration activity.

Properties:

[O17](#) generated (was generated by): [E18](#) Physical Thing

### **S18 Alteration**

Subclass of: [E5](#) Event

Superclass of: [S17](#) Physical Genesis

[E11](#) Modification

Scope note:

This class comprises natural events or man-made processes that create, alter or change physical things, by affecting permanently their form or consistency without changing their identity. Examples include alterations on depositional features-layers by natural factors or disturbance by roots or insects, organic alterations, petrification, etc.

In First Order Logic:

$S18(x) \supset E5(x)$

Properties:

[O18](#) altered (was altered by): [E18](#) Physical Thing

### **S19 Encounter Event**

Subclass of: [S4](#) Observation

Scope note:

This class comprises activities of [S4](#) Observation (substance) where an [E39](#) Actor encounters an instance of [E18](#) Physical Thing of a kind relevant for the mission of the observation or regarded as potentially relevant for some community (identity). This observation produces knowledge about the existence of the respective thing at a particular place in or on surrounding matter. This knowledge may be new to the group of people the actor belongs to. In that case we would talk about a discovery. The observer may recognize or assign an individual identity of the thing encountered or regard only the type as noteworthy in the associated documentation or report.

In archaeology there is a particular interest if an object is found “in situ”, i.e. if its embedding in the surrounding matter supports the assumption that the object was not moved since the archaeologically relevant deposition event. The surrounding matter with the relative position of the object in it as well as the absolute position and time of the observation may be recorded in order to enable inferences about the history of the [E18](#) Physical Thing.

In Biology, additional parameters may be recorded like the kind of ecosystem, if the biological individual survives the observation, what detection or catching devices have been used or if the encounter event supported the detection of a new biological kind (“taxon”).

Properties:

[O19](#) has found object (was object found by): [E18](#) Physical Thing

[O21](#) has found at (witnessed): [E53](#) Place

### **S20 Physical Feature**

Subclass of:

[E18](#) Physical Thing

[E53](#) Place

Superclass of:

[E25](#) Man-Made Feature

[E27](#) Site

[S22](#) Segment of Matter

Equivalent to:

[E26](#) Physical Feature (CIDOC-CRM)

Scope Note:

This class comprises identifiable features that are physically attached in an integral way to particular physical objects. An instance of [S20](#) Physical Feature also represents the place it occupies with respect to the surrounding matter. More precisely, it is the maximal real volume in space that an instance of [S20](#) Physical Feature is occupying during its lifetime with respect to the default reference space relative to which the feature is at rest. In cases of features on or in the surface of earth, the default reference is typically fixed to the closer environment of the tectonic plate or sea floor. In cases of features on mobile objects, the reference

space is typically fixed to the geometry of the bearing object.

Instances of [E26](#) Physical Feature share many of the attributes of instances of [E19](#) Physical Object. They may have a one-, two- or three-dimensional geometric extent, but there are no natural borders that separate them completely in an objective way from the carrier objects. For example, a doorway is a feature but the door itself, being attached by hinges, is not.

Instances of [E26](#) Physical Feature can be features in a narrower sense, such as scratches, holes, reliefs, surface colors, reflection zones in an opal crystal or a density change in a piece of wood. In the wider sense, they are portions of particular objects with partially imaginary borders, such as the core of the Earth, an area of property on the surface of the Earth, a landscape or the head of a contiguous marble statue. They can be measured and dated, and it is sometimes possible to state who or what is or was responsible for them. They cannot be separated from the carrier object, but a segment of the carrier object may be identified (or sometimes removed) carrying the complete feature.

This definition coincides with the definition of "fiat objects" (Smith & Varzi, 2000, pp.401- 420), with the exception of aggregates of "bona fide objects".

Examples:

- the temple in Abu Simbel before its removal, which was carved out of solid rock
- Albrecht Duerer's signature on his painting of Charles the Great
- the damage to the nose of the Great Sphinx in Giza
- Michael Jackson's nose prior to plastic surgery

## **S22 Segment of Matter**

Subclass of: [S20](#) Physical Feature

Scope Note:

This class comprises physical material in a relative stability of form (substance) within a specific spacetime volume (unity, extend). The spatial extend of a [S22](#) Segment of Matter is defined by humans usually because the constellation is subject to a specific interest for and investigations of the geometric arrangement of physical features or parts of them on or within the specified [S22](#) Segment of Matter. It comes into existence as being an object of discourse through [S4](#) Observation or declaration and is restricted to the time span starting after the last change through an [S18](#) Alteration before the [S4](#) Observation or declaration and ending with the next [S18](#) Alteration Event (identity). A [S22](#) Segment of Matter exists as long as there is no modification of the geometric arrangement of its particles. Therefore the temporal boundaries of the defining Spacetime Volume are given by two [S18](#) Alteration events.

The history of a [S22](#) Segment of Matter started with the first [S17](#) Physical Genesis event that deposited still existing matter within the defined spatial extend. The collection of all [S18](#) Alteration events represent its history. Some of the events will not leave any physical material within the [S22](#) Segment of Matter.  
(to be elaborated further)

Properties:

[O22](#) partly or completely contains (is part of): [S20](#) Physical Feature  
[O23](#) is defined by (defines): [E92](#) Spacetime Volume

## 1.6.2 CRMsci Properties

This section contains the complete definitions of the properties of the Scientific Observation Model referred to by Excavation Model

### **O8 observed (was observed by)**

Domain: [S4](#) Observation

Range: [S15](#) Observable Entity

Subproperty of: [E13](#) Attribute Assignment. [P140](#) assigned attribute to (was attributed by): [E1](#) CRM Entity

Superproperty of: [S21](#) Measurement. [O24](#) measured (was measured by): [S15](#) Observable Entity

Scope note: This property associates an instance of S4 Observation with an instance of S15 Observable Entity that was observed. Specifically it describes that a thing, a feature, a phenomenon or its reaction is observed by an activity of Observation.

In First Order Logic:

$O8(x,y) \supset S4(x)$

$O8(x,y) \supset S15(y)$

$O8(x,y) \supset P140(x,y)$

### **O17 generated (was generated by)**

Domain: [S17](#) Physical Genesis

Range: [E18](#) Physical Thing

Superproperty of: [E12](#) Production. [P108](#) has produced (was produced by): [E24](#) Physical Man-Made Thing

Scope note: This property associates an instance of S17 Physical Genesis event with an instance of E18 Physical Thing that the event generated.

## 1.7 Referred to CRMinf Classes and properties

### 1.7.1 CRMinf Classes

This section contains the complete definitions of the classes of the Scientific Argumentation Model referred to by Excavation Model

#### S1 Argumentation

Subclass of: [E13](#) Attribute Assignment

Superclass of: [S4](#) Observation

[I5](#) Inference Making/S5 Inference Making

[I7](#) Belief Adoption

Scope note: This class comprises the activity of making honest inferences or observations. An honest inference or observation is one in which the [E39](#) Actor carrying out the [I1](#) Argumentation believes that the [I6](#) Belief Value associated with resulting [I2](#) Belief about the [I4](#) Proposition Set is the correct value at the time that the activity was undertaken and that any [I3](#) Inference Logic or methodology was correctly applied.

Only one instance of [E39](#) Actor may carry out an instance of [I1](#) Argumentation, though the [E39](#) Actor may, of course, be an instance of [E74](#) Group.

Properties: [J2](#) concluded that (was concluded by): [I2](#) Belief

### 1.7.2 CRMinf Properties

This section contains the complete definitions of the properties of the Scientific Argumentation Model referred to by Excavation Model

#### J2 concluded that (was concluded by)

Domain: [I1](#) Argumentation

Range: [I2](#) Belief

Subproperty of: [P116](#) starts (is started by)

Superproperty of:

Scope note: This property associates an instance of [I2](#) Belief with the instance of [I1](#) Argumentation that concluded it.

## ***Bibliography***

[**Harris 1989**]: Harris, E.C.: Principles of Archaeological Stratigraphy. Academic Press, London (1989)

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